Targeted Radionuclide Therapy for Neuroendocrine Tumours: Principles and Application

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Key Words

Neuroendocrine tumours \cdot Carcinoid \cdot Gastroenteropancreatic neuroendocrine tumours \cdot Phaeochromocytoma \cdot Radionuclide

Abstract

Neuroendocrine tumours comprise a group of neoplasms with variable clinical behaviour. Their growth and spread is often very slow and initially asymptomatic, and thus they are often metastatic at the time of diagnosis and incurable by surgery. An exciting therapeutic strategy for cytoreduction, both for stabilisation of tumour growth and inhibition of hormone production, is the use of targeted radionuclide therapy. Evidence from large-scale, randomised, placebo-controlled trials is very difficult to obtain in these rare diseases, but current data appear promising. It is timely to review the principles underlying the use of these therapies, together with the clinical outcomes to date and potential directions for future research.

Introduction

Neuroendocrine tumours comprise a heterogeneous group of neoplasms which originate from endocrine cells, both within endocrine organs and within the cells of the diffuse endocrine system. The clinical behaviour of such tumours is variable; they range from well-differentiated, slow-growing tumours to poorly differentiated, highly invasive malignancies and may be functioning or non-functioning [1]. While surgery is currently the only available curative treatment, at the time of diagnosis many are non-resectable. Other treatment options aim to control the symptoms caused by the production of hormone products and/or achieve cytoreduction with stasis or shrinkage of tumour bulk.

Radionuclides are unstable forms of chemical elements which undergo radioactive decay, resulting in the emission of nuclear radiation. This radiation interacts with human tissue causing DNA damage and cell death. In addition to direct nuclear damage, adjacent cells may also be damaged. This may be via spread of radiation energy beyond the target cell or due to the release of toxic metabolites from damaged cells to those in the vicinity [2]. This so-called 'bystander effect' is complex and may involve the release of free radicals or toxins, causing local inflammation and vasculitis [3].

Delivery of ionising radiation from outside the body (external beam radiotherapy) requires photon energies of several million electronvolts to penetrate tissues and reach deep-seated tumours. The radiation dose absorbed by the tumour is constrained by dose-limiting toxicity to non-target tissues, although improvements in planning methods such as conformal radiation techniques and intensi-
ty-modulated radiotherapy have been introduced to mitigate this effect. Radiopharmaceutical therapies employ a nuclide within the body directed towards a specific target. Targeting may be direct, such as physiological $^{131}$I uptake facilitated by the biology of thyroid tissue, or may involve the coupling of a radionuclide to a molecule which carries the radioactive atom to its target tissue [4], which receives a high dose of radiation energy while minimising potential toxicity to nearby healthy tissue [5]. This also enables treatment of small lesions including subclinical deposits too small to be imaged or managed by surgery or external beam radiotherapy [6].

Treatment success depends on several parameters, including features of the radionuclide, characteristics of the radiopharmaceutical, the nature of the disease process and patient features.

**Features of the Radionuclide**

*Emission Types*

The nuclear composition of radionuclides is unstable, returning to the ground state via one or more nuclear decay events. Alpha decay is the emission of a particle equivalent to the nucleus of a helium atom, with high energy but short path length. Beta radiation consists of a light, short-range particle in the form of an ejected electron. Gamma radiation comprises high-energy electromagnetic waves with long path lengths and thus very high tissue penetration [7]. Auger electrons are produced when an electron is ejected from the atom as beta radiation and an electron from a higher energy shell falls into the resultant vacancy. This fall results in the transfer of energy which may either be expressed as a photon, or transferred to another peripheral electron and then expelled (the Auger electron) [8]. Auger emitters carry high energy but only over a very short distance, so are only effective if the treatment complex is internalised within the cell, preferably close to or within the nucleus. Conversion electrons are produced by in a metastable nucleus by energy transfer to an electron which subsequently becomes free. The types of emission are summarised in table 1, and a given radionuclide may produce one or more of these.

*Particle Energy*

Radionuclides are cytotoxic as the energy they transmit causes ionisation, leading to cell disruption, damage and death. The different types of radiation confer differing amounts of energy, usually expressed as Becquerels (Bq, disintegrations per second) [7].

### Table 1. Examples of different types of radioactive emissions

<table>
<thead>
<tr>
<th>Mode of decay</th>
<th>Particle/emitter</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Decays with emission of nucleons</strong></td>
<td></td>
</tr>
<tr>
<td>Alpha decay</td>
<td>alpha particle (He nucleus)</td>
</tr>
<tr>
<td>Proton emission</td>
<td>proton ejected from nucleus</td>
</tr>
<tr>
<td>Neutron emission</td>
<td>neutron ejected from nucleus</td>
</tr>
<tr>
<td>Spontaneous fission</td>
<td>nucleus disintegrates into two or more smaller nuclei and other particles</td>
</tr>
<tr>
<td>Cluster decay</td>
<td>nucleus emits a specific type of smaller nucleus</td>
</tr>
<tr>
<td><strong>Different modes of beta decay</strong></td>
<td></td>
</tr>
<tr>
<td>Beta negative decay</td>
<td>nucleus emits an electron and anti-neutrino</td>
</tr>
<tr>
<td>Beta positive decay</td>
<td>nucleus emits positron and neutrino</td>
</tr>
<tr>
<td>(positron emission)</td>
<td>nucleus captures an orbiting electron and emits a neutrino</td>
</tr>
<tr>
<td>Electron capture</td>
<td>nucleus absorbs one orbital electron, emits one positron and two neutrinos electron ejected from core level, higher level electron falls in to fill space, excess energy lost in second ejected electron</td>
</tr>
<tr>
<td>Electron capture with</td>
<td></td>
</tr>
<tr>
<td>positron emission</td>
<td></td>
</tr>
<tr>
<td>Auger emission</td>
<td></td>
</tr>
<tr>
<td><strong>Transition between different states of nucleus</strong></td>
<td></td>
</tr>
<tr>
<td>Gamma decay</td>
<td>excited nucleus releases a high-energy photon (gamma ray)</td>
</tr>
<tr>
<td>Internal conversion</td>
<td>excited nucleus transfers energy to an orbital electron and it is ejected from the atom</td>
</tr>
</tbody>
</table>

### Table 2. Physical properties of some isotopes in use for targeted radiotherapy

<table>
<thead>
<tr>
<th>Radio-isotope</th>
<th>Emission</th>
<th>Half-life (days)</th>
<th>Max. range (mm)</th>
<th>Energy (keV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$^{153}$Sm</td>
<td>beta, gamma</td>
<td>1.9</td>
<td>3.1</td>
<td>233 (variable, range 103–810)</td>
</tr>
<tr>
<td>$^{90}$Y</td>
<td>beta</td>
<td>2.67</td>
<td>12</td>
<td>2,284</td>
</tr>
<tr>
<td>$^{186}$Re/$^{188}$Re</td>
<td>beta/gamma</td>
<td>3.78</td>
<td>10</td>
<td>1,037</td>
</tr>
<tr>
<td>$^{177}$Lu</td>
<td>beta/gamma</td>
<td>6.68</td>
<td>1</td>
<td>497</td>
</tr>
<tr>
<td>$^{131}$I</td>
<td>beta/gamma</td>
<td>8.04</td>
<td>4</td>
<td>606</td>
</tr>
<tr>
<td>$^{111}$In</td>
<td>Auger/gamma</td>
<td>2.8</td>
<td>0.55/0.01</td>
<td>245/175</td>
</tr>
<tr>
<td>$^{32}$P</td>
<td>beta</td>
<td>14.28</td>
<td>8.1</td>
<td>1,700</td>
</tr>
<tr>
<td>$^{89}$Sr</td>
<td>beta</td>
<td>50.5</td>
<td>8</td>
<td>2,284</td>
</tr>
</tbody>
</table>
Penetration Range

Cell death is achieved by irreversible DNA damage, and therefore the target for the therapy is the cell nucleus [9]. Biological effects are determined by the linear energy transfer or energy lost per unit distance as an ionizing particle travels through a material. This varies depending on the speed and charge of the particle involved. In general, alpha particles are heavy and relatively slow moving and have a much higher linear energy transfer than beta particles or gamma rays. High linear energy transfer radiation can deposit most of its energy within the volume of one cell and the chance of DNA damage is therefore larger, so that the biological effect is generally greater than for radiation with low linear energy transfer.

Radiation Half-Life

The physical half-life relates to the rate at which an absorbed radiation dose is delivered: it is a fixed property of the radionuclide. A short half-life (high dose rate) is suitable for rapidly dividing cells. A lower rate (and longer half-life) may be suitable for more indolent malignancies [10].

Specific Emitters in Use in Therapy

$^{131}$I is the most widely available radionuclide, used for targeting thyroid tissue (which selectively concentrates iodine) and for labelling metaiodobenzylguanidine (MIBG) (see below) and proteins. Early studies in neuroendocrine tumours frequently used indium ($^{111}$In)-labelled ligands. The cytotoxic effect may be linked to Auger emissions as the emitter has to be internalised for short-range conversion electrons to reach the nucleus [11]. For pure beta emitters such as yttrium ($^{90}$Y), the range of the emitted particle is greater than the diameter of the cell and may result in crossfire involving neighbouring cells [5]. Lutetium ($^{177}$Lu) emits a shorter range, lower energy beta particle suitable for smaller tumours, and in addition emits gamma radiation [6], facilitating imaging after therapy. The properties of these, together with other emitters used to treat non-endocrine pathologies, are summarised in table 2.

Features of the Radiopharmaceutical

Properties of the carrier molecule or ligand determine the biodistribution and characteristics of the radiolabelled therapeutic compound. Suitable compounds include:

- Substances taken up by the target cell for use in metabolic processes – for example, iodine in thyroid tissue, MIBG for adrenomedullary tissue [12, 13] (fig. 1).
- Substances which bind cell-surface receptors in the tissue of interest. The ligand-receptor complex may stay on the cell surface or may be internalised – for example, somatostatin analogues which bind to somatostatin receptors on some neuroendocrine tumours [14] (fig. 2).

Fig. 1. Normal $^{123}$I-MIBG anterior and posterior whole body scan showing physiological salivary gland, myocardial and hepatic uptake with renal and gastrointestinal excretion. $^{123}$I-MIBG is also usually taken up by the adrenal glands.
Features of the Disease Process

The extent and distribution of the disease and the rate of cell division can influence the effectiveness of targeted radionuclide therapy. For example, in the case of somatostatin analogues, successful tumour-targeting depends on affinity of the radiopharmaceutical to surface receptors. This requires sufficient cellular differentiation within the tumour for continued expression of the receptors. It has been postulated that a difference in response between primary tumour and metastases may be due to differential expression of somatostatin receptor subtypes [6]. Preclinical studies have demonstrated a greater tumour response in small tumours compared to large ones [16]. In large end-stage tumours, the tissue is often poorly vascularised with central necrosis, and uptake and penetration of the therapeutic agent can be variable [10]. The site of the tumour is also significant, as the proximity of the tumour to radiosensitive tissues is important. Patients receiving radiolabelled somatostatin analogues who have extensive hepatic involvement and high uptake on octreotide scanning are at greater risk of developing fulminant hepatic necrosis [17]. If there is metastatic infiltration of the bone marrow, then therapy can exacerbate bone marrow failure [13].

Features of the Patient

The suitability of patients for targeted radionuclide therapy is influenced by several factors. Clearly, as a first step the patient must have disease amenable to this therapy. Current evidence mainly comes from retrospective analysis of subgroups of treatment-responders in non-blinded, non-randomised trials. There are practical considerations and constraints, such as a willingness to be admitted to hospital for treatment and be well enough to be managed within radiation protection guidelines. High dependence on nursing or other daily care may be problematic. Previous treatments given, such as the total dose of radiation already received, may require consideration,
Evaluating Response to Treatment in Radionuclide Therapy

Evaluation of response to treatment is an important part of the decision making process regarding ongoing management. In addition, for the purposes of studies and trials it is important to collect data which can be compared. Responsivity can be subdivided as follows:

1) Symptomatic responses. Resolution of symptoms and quality of life are assessed using validated scores such as Karnofsky performance status (physician assessment) or SF-36 (patient self-assessment). These scales were developed for use in generic illness and have limitations in cancer patients for whom additional specific issues may be relevant. Several questionnaires have been developed for cancers such as the QLQ-30 which uses a Likert scale with add-on modules for specific tumours [20]. A disease-specific module for neuroendocrine tumours is being evaluated [21], although its use has not been reported in studies of radionuclide therapy.

2) Hormonal responses. These are assessed by measures such as urinary 5HIAA, fasting gut peptides or tumour markers such as chromogranin A and CEA.

3) Tumour response. There are various methods to monitor this, including the original World Health Organisation criteria [22]. RECIST criteria provide voluntary, international standards for measuring tumour response, based on measurable disease (i.e. the presence of at least one measurable lesion) in one linear dimension, defining target and non-target lesions [23]. These criteria have recently been revised [24], although the new system has yet to be used in published studies of neuroendocrine tumours. Alternatives are the SWOG criteria, using different measured parameters. In both cases, account is taken of lesion size but not of consistency or necrosis and likely activity [25].

Ideally, integration of all of these factors plays a part in the evaluation of patient response (table 4) [26].

Use of Radionuclide Therapy in Neuroendocrine Tumours

Phaeochromocytoma and Paraganglioma

Phaeochromocytomas and paragangliomas are tumours originating from chromaffin tissue, phaeochro-

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Table 4. Summary of criteria for classification of response to treatment in malignancy according to World Health Organisation guidelines, RECIST criteria and integrated response of tumour load, hormone status and clinical symptoms

<table>
<thead>
<tr>
<th>Response category</th>
<th>WHO tumour response</th>
<th>RECIST tumour criteria</th>
<th>Amalgamated information for response assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>CR</td>
<td>disappearance of tumour confirmed at 4 weeks</td>
<td>disappearance of all target lesions</td>
<td>complete regression of all clinical and hormonal evidence of tumour including radiological abnormalities</td>
</tr>
<tr>
<td>PR</td>
<td>50% reduction in sum of the products of the perpendicular diameter of measurable lesions</td>
<td>30% decrease in the sum of the longest diameter of target lesions</td>
<td>30% decrease in the sum of the longest diameter of target lesions, some clinical and/or hormonal improvement</td>
</tr>
<tr>
<td>SD</td>
<td>neither PR or PD criteria met</td>
<td>neither PR or PD criteria met</td>
<td>less than 50% reduction or no greater than 25% increase in measurable tumour, hormonal measurement and symptoms</td>
</tr>
<tr>
<td>PD</td>
<td>25% increase in tumour size</td>
<td>20% increase in the sum of the longest diameter of target lesions</td>
<td>progressive disease: appearance of new lesions or an increase of 25% or more in tumour size and hormonal and symptomatic deterioration</td>
</tr>
</tbody>
</table>

CR = Complete response; PR = partial response; SD = stable disease; PD = progressive disease.
mocytoma arising from of the adrenal medulla and para-gangliomas from extra-adrenal sites along the sympathetic or parasympathetic chain [27]. MIBG is selectively concentrated by these tumours as well as occasional carcinoid tumours and medullary carcinoma of the thyroid, entering the cells via VMA transporters VMAT1 and 2 [28]. 131I-labelled MIBG is used as a therapy for MIBG-avid tumours (fig. 3).

Various therapeutic regimens have been described, using activities ranging from 3.7 to 11.1 GBq per administration and a wide range of cumulative administered doses [26]. Suitability for treatment is based on MIBG avidity of the primary tumour and metastases when scanned with MIBG labelled with either 123I or 131I. More recently, quantification of expression of VMAT 1 and 2 in surgical specimens has been used as a means of predicting likely response to therapy [29]. Several treatments may be required at intervals of 3–6 months to obtain an objective response.

A retrospective analysis of 37 patients receiving 131I-MIBG therapy in a single institution for various indications demonstrated that 82% of the patients receiving MIBG alone and 84% of those receiving additional therapy had stable disease for a median follow-up period of 32 months [13]. Of these, 8 patients had metastatic phaeochromocytoma (of whom 3 showed no response of the tumour, 3 had a partial response and 2 responded initially and then progressed). Several patients showed improvement in symptoms and hormonal markers. The significance of the findings is difficult to interpret in the absence of a control group, particularly as in many such cases the natural history of disease is of very slow progression. In a summary of cumulative responses to treatment in a total of 23 studies comprising a total of 166 patients, with a wide range of total activities (administered as a series of treatments), there was a complete response on imaging criteria in 4.2%, a partial response in 25.3%, stable disease in 43.4% and disease progression in 22.9% (a further 4.2% did not have a tumour response recorded). Of these patients, 125 had documentation on the hormonal response to the tumour: of these, 16.8% with values recorded (12.7% of the 166 total) had disease progression and the remainder had complete or partial response or stable disease. Again, interpretation of the results is limited by the absence of a comparison group either untreated or to compare with ‘current best treatment’ [27]. Overall, for the majority of patients, MIBG therapy is not a curative intervention. The impact of the timing of the treatment during the natural history of the disease and the effects of combining this radionuclide treatment with other modalities (such as the use of MIBG as an adjuvant treatment for eradication of residual disease after surgery) are under investigation. Uncertainty remains over the relative merits of single high-dose MIBG treatment versus multiple treatments each of lower activity [30–32]. Reported side effects of MIBG therapy depend on the activity, whole body dose and distribution of disease. They may include nausea, vomiting and transient myelosuppression (particularly for patients with marrow infiltration with tumour at the time of the therapy) [10]. The risk of development of second malignancies after treatment remains uncertain. These are reported in children treated with 131I-MIBG for neuroblastomas [33], but here the additional contribution of MIBG was difficult to separate from other therapies also used, including exposure to high-dose combination chemotherapy, external beam radiation and total body irradiation prior to transplantation.
In addition to MIBG avidity, chromaffin cell tumours also frequently express somatostatin receptors, although of the five subtypes of somatostatin receptor, SSTR2 are expressed less on chromaffin cell tumours than on gastrointestinal and pancreatic neuroendocrine tumours. This is relevant if therapy with radiolabelled somatostatin analogues is considered, as somatostatin analogues have highest affinity for this receptor subtype [34]. Somatostatin analogues have been used in the treatment of phaeochromocytoma, although the reported numbers are low [35].

Gastroenteropancreatic Neuroendocrine Tumours

Gastroenteropancreatic neuroendocrine tumours (GEP-NETs) comprise a heterogeneous group of pathologies arising from the diffuse endocrine system. This system includes endocrine glands, endocrine islets within glandular tissue and disseminated endocrine cells situ-
ated among exocrine cells [19, 36]. The tumours include those arising from the endocrine cells within the respiratory and gastrointestinal tracts, known as ‘carcinoid’ tumours. These have been classified as foregut, midgut and hindgut according to their presumed embryological origins. Such tumours can occur sporadically or as part of familial syndromes, and their biological behaviour can vary significantly [37]. Also included are islet cell tumours arising from the pancreas. GEP-NETs are often well differentiated, retaining expression of cell-surface somatostatin receptors [38].

There are 5 major subtypes of somatostatin receptors which bind the 14-amino-acid peptide somatostatin and its high-affinity 28-amino-acid precursor [39–41]. Receptor-binding affinities for both native peptides and synthetic somatostatin analogues differ considerably, as examined in vitro [34], via mouse organ uptake [42] and via scintigraphy studies in patients with neuroendocrine tumours [43]. The receptors are differentially expressed on different tumours, but the commonest subtypes expressed are SSTR2 and 5 [26], providing a potential target for treatment [5]. The half-life of somatostatin is short, but several analogues of somatostatin are available which are more resistant to plasma degradation while retaining a similar biological profile [44], including octreotide (Novartis, Basel, Switzerland) and lanreotide (Ipsen, Paris, France; fig. 4). Others described include octreotate, NOC and BOC. Most of these have high affinity for SSTR2 and to a lesser extent SSTR5, with some binding also to SSTR3 (table 5). As most GEP tumours are known to predominantly express SSTR2, clinical studies have utilised radiolabelled somatostatin analogues with a high affinity for this receptor [45]. Binding results in formation of a receptor-peptide complex which is then internalised into

Table 5. Affinity (IC50) of radiopharmaceuticals targeting SSTR

<table>
<thead>
<tr>
<th>Radiopharmaceutical</th>
<th>SSTR1</th>
<th>SSTR2</th>
<th>SSTR3</th>
<th>SSTR4</th>
<th>SSTR5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Native SS-28</td>
<td>5.2</td>
<td>2.7</td>
<td>7.7</td>
<td>5.6</td>
<td>4.0</td>
</tr>
<tr>
<td>111In-DTPA-octreotide</td>
<td>low/no affinity</td>
<td>22</td>
<td>182</td>
<td>low/no affinity</td>
<td>237</td>
</tr>
<tr>
<td>90Y-DOTA-Tyr3-octreotide</td>
<td>low/no affinity</td>
<td>20</td>
<td>27</td>
<td>low/no affinity</td>
<td>57</td>
</tr>
<tr>
<td>177Lu-DOTA-Tyr3-octreotate</td>
<td>low/no affinity</td>
<td>1.5</td>
<td>low/no affinity</td>
<td>453</td>
<td>547</td>
</tr>
<tr>
<td>90Y-DOTA-lanreotide</td>
<td>154</td>
<td>23</td>
<td>1.5</td>
<td>2.5</td>
<td>0.45</td>
</tr>
<tr>
<td>111In-DOTA-NOC</td>
<td>low/no affinity</td>
<td>2.9</td>
<td>8</td>
<td>low/no affinity</td>
<td>11.2</td>
</tr>
</tbody>
</table>

Adapted from references [34, 72a, 73b]. Values for IC50 not directly comparable across peptides as not all evaluated in identical experimental conditions. Number of experiments and SEM not shown here to aid clarity.

Fig. 5. 111In-octreotide anterior and posterior whole body scan showing somatostatin receptor-positive hepatic and skeletal metastases from a midgut carcinoid tumour. Tumour uptake is significantly higher than background activity, indicating a favourable therapeutic ratio for high activity treatment.
the cell (fig. 5). This promotes retention of the radionuclide in the tumour while the remaining free molecules are rapidly cleared from the bloodstream [46]. The differences in molecular structure may affect renal clearance as well as receptor affinity, and this balance may modulate the side effect profile. For example, Tyr\(^3\)-octreotate has higher tumour but similar kidney uptake to Tyr\(^3\)-octreotide, thus improving the tumour: kidney ratio. However, Tyr\(^3\)-octreotide is cleared more rapidly by the kidney, and it has been suggested that this may reduce bone marrow irradiation [16].

In order to enable labelling of the peptide with a radio-metal, the peptide ligand is bound to a chelator, such as tetraazacyclododecanetetraacetic acid (DOTA) and diethylenetriamene-pentaacetic acid (DTPA; fig. 6). The ligand-receptor affinity profile is modulated by the chelator and this in turn affects efficacy [34]. The properties and stability of the chelator-ligand complex can also affect the type of radionuclide with which it can be labelled.

With this theoretical background in mind, a small number of therapeutic peptide complexes have been administered to patients, specifically: DTPA-octreotide labelled with indium, DOTA-D-Phe-Tyr\(^3\)-octreotide (DOTATOC) labelled with yttrium, DOTA-D-Phe-Tyr\(^3\)-octreotate (DOTATATE) labelled with lutetium, DOTA-lanreotide (DOTALAN) labelled with yttrium and indium-labelled DOTA-NOC (table 4). The data available on the use of these agents in GEP-NETs will be discussed individually. Patient data for the various agents available are limited as are comparative data to assess their relative merits. For practical reasons, these agents have not been subject to randomised trials and the use of different protocols prevents direct comparison of results between centres.

**111Indium-Octreotide**

Experience with indium-octreotide (\(^{111}\)In-octreotide) is described in a few series. The effects of \(^{111}\)In-octreotide in 30 patients with end-stage tumours of variable pathology (of which 21 were GEP-NETs) suggested an outcome dependent on the cumulative activity received. Seven patients received a total activity of less than 20 GBq and all developed progressive disease, while 21 patients received greater than 20 GBq – 6 had partial shrinkage and 8 had stabilisation of their tumour. There were no specific side effects reported apart from a transient decrease in white cell count. Pituitary and pancreatic islet cells (both known to express somatostatin receptors) did not change during the course of follow-up [5].

A later series reviewed 50 patients, 30 men and 20 women, of whom 26 had somatostatin-receptor-positive GEP-NETs, with 24 progressive prior to enrolment. The cumulative activity administered was 20–160 GBq and outcomes were 1 partial remission, 6 ‘minor remissions’ and 14 instances of stable disease. Administration of the related agent \(^{111}\)In-pentetreotide (containing a synthetic polypeptide derived from octreotide and linked to the chelator DTPA) to 27 patients, mainly with carcinoid from various sites of origin (6.7–46.6 GBq cumulative activity), resulted in a 62% symptom response (on the basis of Karnofsky score, pain and amount of supportive drugs taken) and an 81% hormonal response (on the basis of measured CgA subunit) with claims of no major side effects but with a prolongation of survival – although only with comparison to historical data [47]. In 16 patients (of whom 12 had GEP-NETS) receiving \(^{111}\)In-octreotide with a longer period of follow-up, the outcome challenged the long-term efficacy of the therapy. Seventy percent of the total seemed to derive some benefit for 6 months after the last treatment, with 31% having a sustained benefit at 18 months, but the mean progression-free survival for the patients with GEP-NETs was only 6.25 months [48].

**Fig. 6.** Molecular structure of some chelators used to couple radiolabelled octreotide analogues. **a** DOTA. **b** DTPA.
Overall, while the clinical and biochemical data initially seemed encouraging (fig. 7), robust evidence of objective tumour regression was limited, leading to a search for more data and better therapeutic agents.

**90** yttrium-DOTA-TOC (**90** yttrium-Tyr<sup>3</sup>-octreotide)**

Preliminary results came from the treatment of a heterogeneous patient cohort comprising 29 patients with somatostatin-receptor-positive tumours with varying histology. Patients received single treatments at 6-week intervals (and average cumulative activity of 6,120 MBq/m<sup>2</sup>). Twenty patients had stable disease, 2 had partial remission, 4 had a reduction in tumour mass of <50% and 3 were progressive. Five patients developed haematological or renal toxicity having received a cumulative activity >7.4 GBq/m<sup>2</sup> [49]. A phase II trial with a limited cumulative dose given to 41 patients, including GEP-NETs but also with tumours of bronchial and unknown origin, in whom 82% had treatment-resistant or progressive disease at the outset reported a 24% tumour response, and the treatment was well tolerated. A complete response was seen in 2%, partial response in 22%, minor response in 12%, stable disease in 49% and progression in 15% [50]. In a further study of 39 patients with progressive GEP-NET and 3 bronchial carcinoids, four intravenous injections of 7.4 GBq/m<sup>2</sup>**90**Y-DOTATOC were administered at 6-week intervals with evaluation at 4 weeks before and 8–12 weeks after therapy, using radiology criteria and NCI-CTC questionnaire (which grades symptoms and signs). Compared to historical series of data for progression, symptoms and tumour response for other treatments (such as long-acting somatostatin analogues), the authors reported a 24% tumour response (36% response rate for pancreatic NETs). There was no serious toxicity, but 15% of patients had a carcinoid crisis despite taking high-dose octreotide [51]. Administration of 7.4–20.3 GBq/m<sup>2</sup> to a heterogeneous group of 87 patients with disease which was not necessarily progressive at the time of therapy suggested that with treatment 20% had progressive disease, while the remainder had complete or partial remission or stable disease [52].

A summary of results from 141 patients treated in Italy receiving a cumulative activity of 7.4–26.4 GBq suggested a total response rate (combining partial plus complete response) of 26%. For patients with progressive disease at the outset, 23% remained progressive while the rest were stabilised or showed a total or partial response [18]. In a follow-up of 54 patients (of whom 41 had progressive disease at entry) who had received the maximum allowable dose in a previous phase I study (either up to

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**Fig. 7.** Sequential **111**In-octreotide anterior whole body scans before treatment (a), 6 months after treatment (b) and 12 months after treatment (c) showing a progressive reduction in somatostatin receptor-positive tumour burden in malignant paraganglioma.
14.8 GBq/m² in 4 cycles or up to 9.3 GBq/m²), 4 had a partial response, 7 a moderate response, 33 stable disease and 10 were progressive [18]. Therapy also improved the quality of life [53]. In a smaller but more recent study, 58 patients with somatostatin receptor-positive GEP-NETs were treated with ⁹⁰Yttrium-DOTATOC. Of these, 52 had liver metastases and 47 had demonstrable progressive disease prior to therapy. In total, 57% of the subjects showed a beneficial improvement in the progression of disease (for example moving from progressive to stable disease). Overall, patients with a greater tumour burden or progressive disease had worse overall survival than the rest of the cohort. The outcomes were compared with a historical control group of 32 patients with GEP-NET who had been treated with ¹¹¹In-octreotide, with overall survival of 36.7 months in the ⁹⁰Yttrium-Tyr³-octreotide compared to 12 months for the ¹¹¹In-octreotide group, although conclusions based on the use of historical controls must remain guarded [54].

⁹⁰Yttrium-DOTA-Lanreotide

In contrast to labelled octreotide, this agent has greater affinity for SSTR2 and 5. It also binds SSTR4 with high affinity (although this receptor is less represented in human tissue) and SSTR1 with low affinity [55], and thus its use has been recommended for GEP-NETs. The first report was in a single patient with a metastatic, although not demonstrably progressive, gastrinoma. The author reported 25% regression of liver metastases and symptomatic improvement, although no biochemical cure [56]. The agent has also been used in a European multicentre study (MAURITIUS) in 154 patients with proven progressive disease. These included 39 GEP tumours of which 34 were of carcinoid type. All patients received 2 cycles initially, then therapy was abandoned if there was no progress or 2 further cycles were administered if effective. Over 3 years of follow-up, 8 patients (20.5%) had tumour regression, 17 (43.6%) were stable and 10% of all the patients had improvement in quality of life measurements (mainly improvement in pain) [57].

⁹⁰Yttrium-DOTA-TATE (⁹⁰Yttrium-Tyr³-Octreotate)

The effects of ⁹⁰Yttrium-DOTA-TATE have been reviewed in a number of phase I trials. There appears to be some benefit, but data comparing either to a placebo control group, a best-alternative treatment group or even to a group receiving a different radiopharmaceutical, are lacking [16]. Of 75 patients treated, 28 had a partial remission and 39 had stable disease, although the disease status prior to treatment was variable [26].

¹⁷⁷Lu-DOTA-Tyr³-Octreotate

As with yttrium, the use of lutetium labelling was based on the theoretical advantage of beta irradiation of non-octreotide avid areas of tumour close to areas of uptake. The combination with octreotide rather than octreotide favours SSTR2 affinity. This radiopharmaceutical has been administered to patients with carcinoid tumours [58] and also in patients with paraganglioma and meningiomas among others [59]. In 35 patients with GEP tumours of various sizes and a final cumulative radiation dose of 22.2–29.6 GBq, with treatment intervals of 6–9 weeks, at follow-up 3–6 months after administration of the final dose, patients had achieved 3% remission, 35% partial remission and 41% were stable. [17]. In a more extensive study using this agent, efficacy was evaluated in 310 patients with GEP-NETs, while toxicity was evaluated in 504 patients. Patients received a cumulative radiation dose of 27.8–29.6 GBq, in approximately 4 treatment cycles with intervals of 6–10 weeks between. Complete remission was seen in 2%, a partial response in 28% and a minor response (tumour reduction of 25–50%) in 16%. The median time to progression was 40 months, with a survival benefit of 40–72 months calculated from the time of diagnosis, compared with historical controls. Haematological toxicity of grade 3 or 4 occurred in 3.6% of treatment cycles, with serious adverse events of myelodysplastic syndrome in 3 patients and non-fatal liver toxicity in 2 patients [60].

Practical Aspects of Radionuclide Therapy

Dosimetry and Administration

Optimal targeted radiotherapy should entail a balance of maximal delivery of radiotherapy to the tumour with minimum damage to normal tissues [61]. Dosimetry methods can be used to calculate dose estimates to both tumour and vulnerable organs prior to treatment and have been used to individualise treatment protocols, but their use in treatment optimisation protocols remains complex and disputed [18, 61]. There are logistic obstacles as such estimations are time-consuming, involving pharmacokinetic, biodistribution and washout studies with the radiopharmaceutical [7, 61]. In addition, there are no agreed methods for accurately performing tumour dosimetry and, furthermore, dosimetry-based treatment has not been shown to improve outcome. Ideally, a randomised controlled trial of treatment with and without prior dosimetry may help to resolve the issue, but this too presents logistic difficulties.
Effects of Other Treatments on Outcomes of Therapy

Prior treatment with somatostatin or its analogues for symptom control may theoretically block or even down-regulate the surface SSTRs. In practice, patients should either discontinue octreotide 24 h before the treatment, or if on a long-acting injected agent, receive the radiolabelled treatment just before the next dose is due [17]. Further studies are needed to optimise the time off somatostatin analogues prior to therapy and the optimal time to reinstate them after the treatment.

Predictors of Response

Overall patient response rates have varied across studies for various reasons. There has been a lack of uniform criteria for patient selection [18, 61], and it would be helpful to utilise available data to define predictors of good response to treatment and enable exclusion of individuals for whom risks may exceed benefits. Data from GEP tumours [62] would suggest that important predictive features include:

- High uptake on octreotide scintiscanning
- Low tumour load and limited hepatic tumour mass (which has prompted a suggestion that early treatment may be more beneficial than watchful waiting – the ‘wait-and-see’ approach).
- Good performance status

In addition, there is some evidence of a correlation between dose estimates of tumours and tumour size reduction measured at the end of treatment: the median absorbed dose was 6-fold higher in responding than the non-responding tumours [61]. However, the caveats regarding the use of dosimetry in day-to-day practice have already been outlined.

Side Effects

Adverse reactions observed after radionuclide therapy can be divided into direct side effects and more delayed effects of radiotoxicity [45]. The direct effects include nausea, vomiting and abdominal pain. These are not specific to the radionuclide and are treatable with anti-emetics or pain medication. The mechanisms may include inflammatory and fibrogenic mediators released by mucosal inflammatory cells. Corticosteroid prophylaxis may be helpful. One percent of patients receiving $^{177}$Lu-octreotate developed a hormonal crisis after therapy due to release of vasoactive substances from the tumour [63].

Haematological toxicities reported range from transient marrow suppression to more significant impairment. Haematological toxicity of grade 3–4 for haemoglobin, white blood cells and platelets has been reported in up to 15% [45]. Metastatic bone marrow infiltration may exacerbate bone marrow failure after therapeutic radiation [13]. More serious side effects were reported from a clinical trial in which 50 patients were treated with indium-octreotide [64]. Leukaemia and a myelodysplastic syndrome were reported in 3 patients who had received cumulative activity of over 100 GBq (estimated bone marrow dose of 3 Gy). This may be influenced by prior chemotherapy [64].

The kidneys are critical organs, particularly as octreotide is mainly renally excreted [18, 61]. Toxicity occurs because radiolabelled peptides are filtered and then reabsorbed in the proximal tubule. The tubular cells are relatively radioresistant but the glomeruli are not, and this can lead to thrombotic microangiopathy and renal failure [61, 65]. The damage is dose-dependent and influenced by renal size and haemodynamics, and is particularly troublesome in small kidneys, which receive a higher radiation dose per ml [66]. Major contributing factors include the patient’s age, pre-existing angiopathy (diabetes and hypertension), and prior exposure to nephrotoxic chemotherapy or ionising radiation. Methods to reduce kidney uptake have been developed [61, 66] such as coadministration of the positively charged amino-acids L-lysine or L-arginine which compete with the radiopharmaceutical for reabsorption in the proximal tubule [61, 66].

Patients who have extensive hepatic tumour load are at a theoretical risk of early hepatic failure secondary to radionuclide therapy [17] – this is not thought to be due to necrosis, but probably radiation oedema which damages the few remaining normal hepatocytes. This effect can be ameliorated by a reduction in the cycle dose or steroids. However, in clinical practice it may be difficult to distinguish radiation-induced alteration in liver function from subtle progression of disease.

Neither endocrine dysfunction of the pituitary nor diabetes mellitus has been observed after treatment with radiopharmaceuticals [62], but transient impairment of spermatogenesis has been documented [16].

The Future

Much work remains to be done on the use of radionuclide therapies for neuroendocrine tumours. Some possible future areas of research are outlined below.

Randomised Controlled Trials

Randomised controlled trials either of radionuclide therapy compared to other forms of treatment, or for the
comparison of different types of radionuclide therapy, are currently lacking. There are of course practical obstacles such as the difficulty of ‘blinded’ treatment arms in these circumstances and the slow growth of these tumours, requiring prolonged follow-up to assess effects of therapy. Also, the rarity of the tumours and the multidisciplinary nature of the organisation of care for these patients can make it difficult to assemble a trial cohort with adequate power. Efforts are ongoing via national and international networks (such as UKINETS and ENETS) to resolve this. Such moves will be aided by the adoption of more uniform approaches to the assessment of response to therapy. This in turn is likely to benefit from technical advances in imaging techniques.

Use of Combination Treatments

\(^{90}\)Y particles have high energy and relatively low range with good deposition of radioactivity in tumour cells and good crossfire throughout the tumour, with a short half-life leading to a high dose rate. \(^{177}\)Lu has a lower energy and a shorter particle range and the decay energy is therefore likely to be better absorbed within smaller tumours. Therefore, to treat patients with heterogeneous tumours of variable sizes, the use of a mixture has been proposed. Animal studies suggest that this theoretical advantage is evident in vivo \(^{[67]}\) and fits with a mathematical predictive model \(^{[68]}\). Early data for the use of the combination appear promising in terms of effect on median survival in patients with diffuse neuroendocrine tumours and larger studies are anticipated \(^{[69]}\). Where there is avidity for more than one ligand, combination therapy remains an attractive proposition, for example \(^{131}\)I-MIBG therapy and \(^{90}\)Y-DOTATATE.

Timing of Treatment

Some patient series have shown better responses to treatment when tumour load is lower. Early treatment with radionuclide therapy may therefore be associated with a better outcome that a ‘wait-and-see’ approach. Future studies should address the optimal time for treatment. Another argument in favour of early treatment is that NETs can de-differentiate during the course of disease and lose expression of SSTRs, at which point targeted radionuclide therapy (if felt to be useful) may become impossible.

Development of New Ligands Combined with New Emitters

New SST analogues are in development which may be combined with different emitters and these may have potential for radiolabelling \(^{[44]}\). There are new drugs which interact with and lead to cross talk between different receptors either in the same or different families. The resultant SST sub-type homo- or heterodimers may exert properties distinct from the individual receptors in terms of internalisation and functional activity \(^{[44]}\). GEP tumours also express receptors from other families such as the GLP-1 receptor and VIP receptor \(^{[46]}\). A further possible treatment approach may therefore be multireceptor targeting using a mixture of both ligands and emitters. Given the relative rarity and heterogeneity of the patient groups, robust analysis of the efficacy of such agents is likely to be difficult.

Coadministration of Adjuvant Agents or Radiosensitisers

Radiopharmaceuticals can be made from molecules hybridised to agents with allied functions. For example, Arg-Gly-Asp peptides have been combined with labelled octreotate to bind to specific receptors on newly formed blood vessels for anti-angiogenic properties. Furthermore, coadministration of chemotherapy agents with radiosensitising capability may improve therapeutic efficacy \(^{[70]}\). Such therapy could be combined with immunomodulating agents or with the possibility of gene transfer of genes encoding SSTRs to receptor-negative tumours to render them amenable to the actions of radiopharmaceuticals \(^{[44, 71]}\). There may also be other developments to induce upregulation of SSTRs to enable therapy.

Integrated, Multidisciplinary Approach to Therapy

Cancer patients greatly benefit from an integrated and multidisciplinary approach to their care. This model is being extended to the care of patients with neuroendocrine tumours, and it is hoped that this will lead to improvements in quality of life.

References


